

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kenichi YAMAGA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/07572

GAU:

FILED: Herewith

EXAMINER:

FOR: SINGLE-WAFER TYPE HEAT TREATMENT APPARATUS FOR SEMICONDUCTOR PROCESSING SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 268869US26PCT		SERIAL NO. <b>10/529417</b> New U.S. PCT Application Based on PCT/JP04/07572	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kenichi YAMAGA, et al.			
FILING DATE Herewith				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	2000-340501	12/08/00	JP (with English abstract)			NO
	AP	2002-343708	11/29/02	JP (with English abstract)			NO
	AQ	09-008108	01/10/97	JP (with English abstract)			NO
	AR	2002-83859	03/22/02	JP (equivalent of US 2001/0053508)			NO
	AS	2001-44117	02/16/01	JP (equivalent of US 6524389)			NO
	AT	2003-188077	07/04/03	JP (with English abstract)			NO
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 268869US26PCT

## STATEMENT OF RELEVANCY

References AO-AT have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

AO JP 2000-340501

Concise Explanation of Relevance: This KOKAI publication discloses detecting and controlling the temperature of a gas supplied from a hot gas source.

AP JP 2002-343708

Concise Explanation of Relevance: This KOKAI publication discloses means for vertically moving an object to be treated, while simultaneously holding the lower surface of that object.

AQ JP 9-8108

Concise Explanation of Relevance: This KOKAI publication discloses a structure wherein gas jetting holes are opposed to the entire rear surface of an object to be treated.

AT JP 2003-188077

Concise Explanation of Relevance: This KOKAI publication discloses a substrate drying apparatus comprising: a diffusing member; a support member; hot air supplying means, a discharge port, a heater, etc. The diffusion member is opposed to the rear side of a substrate, and the support member supports that substrate by holding its peripheral portions.